


**Case Report**

## Mixed Small Cell Carcinoma and Adenocarcinoma of the Esophagus: A Case Report

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### Abstract

**Background:** Small cell carcinoma is an aggressive malignant tumor characterized by small-sized cells with scant cytoplasm, nuclei with finely granular chromatin and absent nucleoli, and a high mitotic count. The most common site is the lung which comprises 10-15 percent of all lung cancers. Rare sites of small cell carcinoma include the uterus, cervix, prostate, larynx, sweat glands, and gastrointestinal tract. No matter the site, it is an aggressive disease and is usually associated with a poor prognosis.

**Case Summary:** We report the case of a 31-year-old gentleman, diagnosed with mixed small cell carcinoma and adenocarcinoma of the esophagus. He presented to our clinic with complaints of progressive dysphagia, cough, and weight loss for the last 6 months. An initial endoscopic biopsy was done, it was suspicious of mixed small cell carcinoma and adenocarcinoma of the esophagus which was reconfirmed by our histopathologist. MRI brain was negative. He was treated aggressively with Cisplatin and Etoposide-based concurrent chemo-radiation. He received 4 cycles of chemotherapy and 28 fractions of radiation sandwiched between cycles 2 and 4 of chemotherapy. He has been on surveillance since April 2019 and his most recent scan and upper GI endoscopy from May 2019 confirm that the disease is in remission.

**Conclusion:** Mixed Small cell carcinoma and adenocarcinoma of the esophagus is an extremely unique, aggressive, and swiftly progressive disease, prone to distant metastasis and poor prognosis if left untreated. Treatment should be aggressive and commenced as soon as possible. Multi-modality management should be considered the current standard of care, to achieve both local and distant disease control.

**Keywords:** Chemoradiation; Multi-Modality; Small Cell

### Introduction

Esophageal carcinoma is the eighth most common cancer worldwide. It is mainly characterized by two histological subtypes: namely squamous cell carcinoma and adenocarcinoma. Small cell carcinoma of the esophagus is a rare histological subtype accounting for only 1-2.8% of all esophageal cancers [1]. It is a highly aggressive disease with a high risk of distant metastasis and a poor prognosis. The median survival is usually less than a year. The recurrence rate is very high even in patients with early-stage disease [1]. Mixed small cell and adenocarcinoma of the esophagus are exceedingly rare [2]. Small cell carcinoma also known as oat cell carcinoma is a neuroendocrine carcinoma that exhibits aggressive behavior, rapid proliferation, and high

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**Citation:** Tasneem Dawood, Muhammad Nauman Zahir, Bilal Mazhar Qureshi, Zeeshan Uddin, Yasmin Abdul Rashid, Adnan Abdul Jabbar. Mixed Small Cell Carcinoma and Adenocarcinoma of the Esophagus: A Case Report. Archives of Clinical and Medical Case Reports 6 (2022): 734-737.

**Received:** September 01, 2022

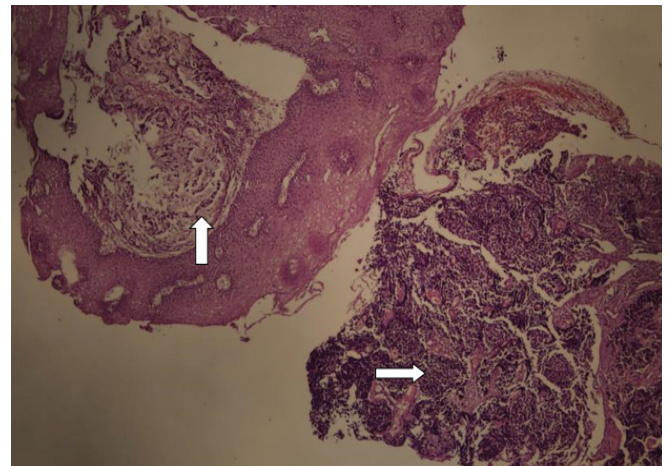
**Accepted:** September 21, 2022

**Published:** November 17, 2022

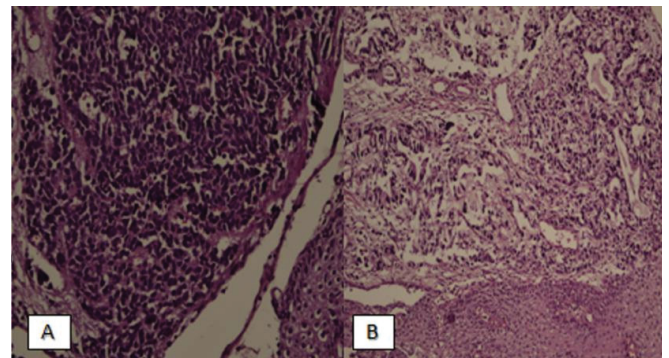
rates of distant metastasis. It is frequently associated with paraneoplastic syndromes such as hypercalcemia, Lambert Eaton syndrome, SIADH, sub-acute cerebellar degeneration, limbic encephalopathy, and acromegaly [3]. The site of small cell carcinoma is usually the lung. Extrapulmonary small cell carcinoma comprises less than 5% of cases and is rarely seen in sites such as the salivary glands, larynx, pharynx, cervix, prostate, breast, and gastrointestinal tract [4]. A combined modality approach is usually used for treatment including chemotherapy and radiation based on studies on small cell carcinoma of the lung [5]. Small Cell carcinoma of the lung is divided into limited stage and extensive stage. The limited stage is defined as disease that is limited to the ipsilateral hemi thorax and regional lymph nodes and can be encompassed in a safe radiotherapy field. Extensive stage (ES) disease is defined as disease with distant metastases, malignant pericardial or pleural effusions, and/or contralateral supraclavicular and contralateral hilar lymph node involvement [6]. For Limited stage disease of the lung, some patients are candidates for lobectomy with mediastinal sampling or dissection. If surgery demonstrates mediastinal nodal involvement, adjuvant chemoradiation is indicated. If they are not surgical candidates, stereotactic ablative body therapy (SABR) may be offered. Adjuvant cisplatin-based systemic therapy typically follows both local approaches. The rest of the limited-stage patients are usually treated with concurrent chemotherapy and radiation. The chemotherapeutic agents used are cisplatin and etoposide are the current standard of care [6]. The mainstay of therapy for ES SCLC is systemic therapy. It is a fundamental part of management, as these tumors have a high proliferative index and respond well to aggressive treatment. Radiotherapy alone as a treatment modality is usually not helpful [5]. Here we report the case of a young male diagnosed with mixed small cell carcinoma and adenocarcinoma of the esophagus and how he was managed using a multimodality approach.

### Case Report

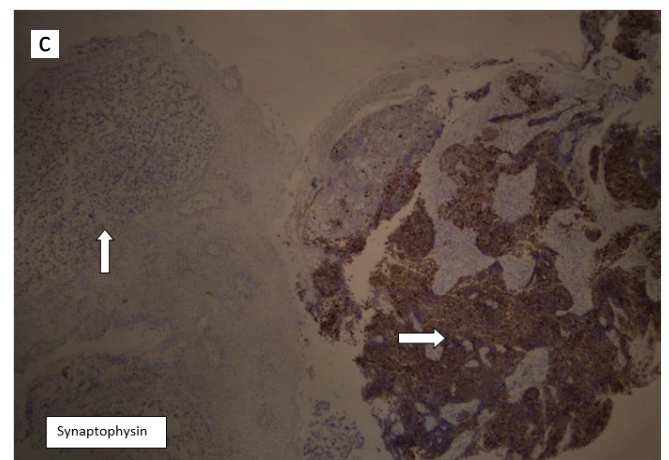
A 31-year-old young gentleman, who had a history of occasional cigarette smoking with no other significant past medical history, presented to the clinic in January 2019 with complaints of dysphagia along with chest tightness, epigastric burning, and loss of appetite and weight loss over the last 4-5 months. His Upper GI endoscopy was done outside our institute in December 2018 which revealed a 3 cm ulcerated semi-circumferential mass extending from 38 cm to 41 cm to the gastroesophageal junction along with mild pan gastritis. The biopsy of the esophageal mass was taken. The biopsy was reviewed again at our institute, and it showed esophageal mucosal fragments involved by an invasive tumor composed of partly crushed small round to oval cells arranged in sheets and clusters. Individual cells show scant cytoplasm and nuclear hyperchromasia. Focally the tumor cells are forming



**Figure 1:** Esophageal biopsy showing mixed small cell carcinoma (→) and adenocarcinoma (↑). Normal stratified squamous epithelium of esophagus is present in the center (H&E, 4X).



**Figure 2:** Esophageal biopsy showing cell carcinoma component (A), composed of sheets of undifferentiated small cells with hyperchromatic nuclei and nuclear molding. Adenocarcinoma component (B) is composed of complex glands lined by neoplastic cells and lumina showing secretion (H&E, 20X).



**Figure 3:** Immunohistochemical stain synaptophysin on the esophageal biopsy showing positivity in small cell carcinoma component (→), consistent with neuroendocrine differentiation. The adenocarcinoma component (↑) is negative for this marker. (4X).

well-formed glands. Immunohistochemical stains revealed CK7, CD56, and CDX 2 positive with a high proliferative index of 80%. Features were consistent with mixed small cell carcinoma and adenocarcinoma of the esophagus. CT scan of the chest and liver was done outside our institute which showed an asymmetrical soft tissue mass with ulceration causing wall thickening of the distal esophagus and involving the GEJ along with prominent gastro hepatic lymph nodes. PET CT scan was also done which revealed limited stage of the disease. MRI brain was unremarkable. His Hepatitis B/C and HIV test were all negative and 24-hour creatinine clearance and including all other baseline labs were fine. This case was discussed in the multidisciplinary tumor board meeting. It was decided to treat the patient as limited stage small cell carcinoma of the lung with multimodality treatment. Chemotherapy was started, Cisplatin 80mg/m<sup>2</sup> day 1 and Etoposide 100mg/m<sup>2</sup> day 1-3 x 4 cycles given every 3 weeks. The first cycle was given before radiation. A feeding tube was placed in February 2019 before radiation was started. The patient was later started on definitive concurrent chemoradiation again with Cisplatin 80mg/m<sup>2</sup> day 1 and Etoposide 100mg/m<sup>2</sup> day 1-3 x given every 3 weeks with 50.4 Gy in 28 fractions of radiation with the remaining chemotherapy cycles. 4 cycles of chemotherapy were completed in April 2019 and radiation ended in March 2019. No prophylactic cranial irradiation was given. CT scan was done after completion of treatment in May 2019 which showed redemonstration of mild thickening in the region of the gastro-esophageal junction extending to involve the cardia of the stomach, which appeared grossly unchanged from prior examination. No evidence of distant metastasis. Upper GI endoscopy was also repeated after completion of treatment, which showed no evidence of disease. His feeding tube was then removed. He has been on surveillance since then. The scans repeated in August 2019 and January 2020, were unremarkable. He was followed up every 3 months for history and physical exam with baseline labs and CT scans were repeated every 6 months. His latest scan was done in June 2020 which also showed no evidence of disease.

## Discussion

Squamous cell carcinoma (SCC) and adenocarcinoma account for 95 percent of histological subtypes of esophageal cancer. Primary small cell carcinoma of the esophagus is a rare disease accounting for 1-2.8 of esophageal cancer. It is a very aggressive disease and is notorious for early distant metastasis and poor prognosis. Small cell carcinoma of the esophagus can cause early dissemination and usually has a median survival of less than a year. It even has a high recurrence rate [1]. Small cell carcinoma is histologically thought to develop from neuroendocrine Kulchitsky cells and is composed of sheets of small, round to spindle cells with dark nuclei, scarce cytoplasm, and fine, granular (“salt

and pepper”) nuclear chromatin with indefinite nucleoli [7]. Small cell carcinoma accounts for 14-15% of lung cancers [8]. Extra pulmonary small cell carcinomas (ESCCs) are very rare; these tumors have been described most frequently in the urinary bladder, prostate, esophagus, stomach, colon and rectum, gallbladder, larynx, salivary glands, cervix, and skin. In addition, small cell carcinoma will occasionally present with metastatic disease, and a primary site cannot be identified (small cell carcinoma of unknown primary) [9]. Primary small cell carcinoma of the esophagus has similar findings on endoscopy and radiology as the other subtypes. But usually presents with rapid dysphagia and weight loss in the early days. This subtype is mostly seen in men with a male-to-female ratio reported as 2: 1. It is usually observed between the fourth and the seventh decades. Major symptoms include progressive dysphagia, retrosternal pain, cough, and rapid weight loss. Rarely, hoarseness and upper gastrointestinal tract bleeding have also been reported as the primary symptoms. It is usually seen in the distal or middle esophagus. Sites of distant spread include liver, lung, and bones [5]. There are 2 viewpoints regarding the pathogenesis of primary small cell carcinoma of the esophagus, one is that it arises from neuroendocrine cells of the submucosal gland or stratum basal, and the major precursor from the APUD cells is the amine precursor uptake decarboxylase cells. Since these cells are abundant in the distal esophagus, the lesion usually arises in the middle or distal esophagus. The second is that the biphasic neoplasm originates from pluripotential stem cells of the endoderm that can be partially differentiated into the squamous cell, neuroendocrine cell, or glandular cell because of the stimulation of different carcinogenic agents [5]. We could not find any cases reported yet, regarding mixed small cell carcinoma and adenocarcinoma of the Esophagus. Most of the cases reported include mixed small cell and squamous cell carcinoma of the esophagus [11,12]. No standard of care of treatment has yet been established because of the rarity of the disease. The significance of surgery is still controversial [5]. Some reports have stressed that surgery should be avoided for patients with advanced disease [13]. Conversely, some authors think surgery remains the primary method in patients with localized disease [14]. In recent reports, regimens including cisplatin and etoposide have achieved better response and radiotherapy is also effective [14]. Several cases suggested that the patients were treated with surgical resection, radiotherapy, and chemotherapy in combination may result in survival benefits [15]. Nonetheless, individualized treatment should be considered for all patients, based on clinical features, pathologic diagnosis, the grading and staging classification, patient characteristics, logistics, and availability of surgical expertise as incomplete surgery or recovery might delay chemoradiation which has proven to be effective for locoregional and distant control of disease [16].

## Conclusion

Primary mixed small cell carcinoma and adenocarcinoma of the esophagus is an extremely rare disease with high risk of early dissemination and poor prognosis if left untreated. It should be dealt with aggressively and treatment decisions should be made early. These cases should be discussed in a multidisciplinary meeting and a plan should be made personalized to each patient. Treatment should be aggressive and started early. Multi-modality management should be considered the current standard of care in such patients to help achieve, both local and distant disease control. These patients need a close follow-up post-treatment because of the aggressive nature of the disease and the high risk of recurrence.

## Conflicts of Interest

The authors have no conflicts of interest to declare. All co-authors have seen and agree with the contents of the manuscript and there is no financial interest to report. We certify that the submission is original work and is not under review at any other publication.

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