



## Research Article

## Carvedilol Associated with Ligation For The Prophylaxis of First Esophageal Variceal Bleeding: A Novel Approach of Long-Term Study

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### **Abstract**

### **Background**

The role of combining banding ligation with  $\beta$ -blockers in the prophylaxis of first esophageal variceal bleeding is unsettled. We conducted a trial to assess the value of carvedilol plus banding ligation in the prophylaxis of  $1^{st}$  bleeding.

### **Subjects and methods**

Cirrhotic patients with high risk esophageal varices

who have never bled were randomized to 2 groups. The Carvedilol group received regular carvedilol administration alone while Combination group received 3 sessions of banding ligation at 3 and 12 months interval additional to carvedilol administration. End points were first variceal bleeding and survival.

### Results

A total of 65 patients were enrolled, 34 patients in the Carvedilol group, and 31 patients in Combination

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group. Both groups were comparable in baseline data. The mean dose of carvedilol was similar in both groups. A mean of 2.7 session of banding ligation was performed in the Combination group. After a followup up to 9 years, 16 patients in the Carvedilol group encountered esophageal variceal bleeding while 3 patients in the Combination group bled (p<0.001, hazard ratio 5.52; confidence interval 2.19-13.9). Upper gastrointestinal bleeding was experienced in 21 patients and 6 patients in the Carvedilol group and Combination group, respectively. Adverse events were similar between both groups. There were 23 deaths (67%) in the Carvedilol group and 11 deaths (35%) in the Combination group (p= 0.03, hazard ratio 2.08; confidence interval 1.06-4.92).

### **Conclusions**

Carvedilol combined with banding ligation proved more effective than carvedilol alone in the prevention of first esophageal variceal bleeding and improvement of survival.

## 1. Introduction

Hemorrhage from esophageal varices is a formidable complication of portal hypertension. Approximately one third of cirrhotic patients with esophageal varices will bleed. The mortality rate associated with first bleed is still around 20% despite the advancement of therapies in recent years [1,2]. Esophageal varices of medium-large size with red color signs carry a high risk of rupture ranging between 25% and 58% within 2 years [3-6]. Either beta-blockers or endoscopic variceal ligation (EVL) is recommended as a feasible tool for primary prophylaxis of high risk varices [7,8]. The combination of endoscopic therapy pharmacotherapy has proven to be more effective than either therapy alone in the prevention of variceal rebleeding but not in the prophylaxis of first variceal

bleeding [9-12]. This study was undertaken to evaluate the efficacy and safety of carvedilol plus EVL versus carvedilol alone in the prophylaxis of first episodes of esophageal variceal bleeding.

## 2. Subjects and Methods

Patients presented with chronic liver disease and esophageal varices were selected for possible inclusion in the trial. The inclusion criteria were as follows: 1. the cause of portal hypertension was cirrhosis; 2. the degree of esophageal varices was at least F2 (moderate varices), associated with red color signs (red wale markings, cherry red spots); 3. no history of hemorrhage from esophageal varices or other upper gastrointestinal lesions; and 4. no current treatment with beta-blockers. Cirrhosis was based on results of liver biopsy, or clinical and biochemical examinations and image studies. The exclusion criteria were: 1. age greater than 75 years old or younger than 20 years old; 2. association with malignancy, uremia or other serious medical illness which may reduce the life expectancy; presence of refractory ascites, encephalopathy or deep jaundice (serum bilirubin > 10 mg/dl); 4. history of shunt operation, transjugular intrahepatic portosystemic stent shunt (TIPS) or endoscopic therapy (EVL); 5. had contraindications to beta-blockers, such as asthma, heart failure, complete atrioventricular block, hypotension (systolic blood pressure < 90mmHg), pulse rates < 60/ min or pregnancy; 6. unable to cooperate; and 7. declined to participate. Patients eligible for the trial were randomized to receive banding ligation plus carvedilol (Combined group) or carvedilol alone (Carvedilol group). The method of randomization was based on opaque-sealed envelopes numbered according to a table of random numbers by a coordinator. Patients informed about possible benefits complications. Informed consent was obtained from all

the patients. The study was conducted in accordance with the Declaration of Helsinki and approved by the Ethics Committee of investigators at all the 3 hospitals. The severity of liver disease of each patient was assessed at the time of presentation according to Pugh's modification of Child's classification and MELD scores [13]. The degree of variceal size was based on Beppu's classification (3). Participants in both groups were advised to abstain from alcohol drinking. Antiviral drugs were administered to individuals with chronic hepatitis B or C when appropriate. Carvedilol was administered from the start of enrollment. The dose of carvedilol given was 6.25 mg (Roche, S.p.A, Segrate, Italy) twice daily. If participants complained of side effects, the dose of carvedilol given was tapered to 6.25 mg per day. Carvedilol was continued until death or the end of the study. After stabilization of the dosage, patients were asked to visit clinic every 3 months to get drugs as well as measurement of blood pressure and pulse rate. The compliance was evaluated by quantifying carvedilol tablets that were not consumed and blood pressure and pulse rates. Among the Combination group, the administration of carvedilol was similar to the Carvedilol group. EVL was initiated 2 weeks after use of carvedilol. Banding ligation was performed under premedication with 20 mg of buscopan intramuscularly. The Saeed Four-Shooter (Wilson-Cook Medical, Winston-Salem, NC, USA) or Speedband Superview Super 7 multiple band ligator (Boston Scientific Corp, Natick, Mass) attached to the video endoscope (Olympus XQ 230) was utilized. Ligation was initiated at the gastroesophageal junction and advanced proximally by experienced endoscopists. Each varix was ligated with one to two rubber bands. Further sessions of banding ligation were performed at intervals of 3 and 12 months. If varices were too small, EVL was not performed.

# 2.1 Variceal bleeding management and adverse events

All patients suspected of upper gastrointestinal bleeding received emergency endoscopy within 12 hours of presentation. Supportive measures included blood transfusion, vasoconstrictor infusion and prophylactic antibiotics. Acute esophageal or gastric variceal bleeding was based on the Baveno criteria [14]. Emergency EVL was administered for esophageal variceal bleeding and glue injection was performed for gastric variceal bleeding [15,16]. Elective EVL with carvedilol or transjugular intrahepatic porto-systemic shunt (TIPS) for prevention of rebleeding was employed for patients of both groups if indicated [9]. Patients in both groups received follow-ups of abdominal sonogram, serum alpha-fetoprotein, and biochemistry at 3-month intervals. Adverse events were recorded. An adverse event was an event that required a diagnostic or therapeutic intervention. An adverse event was judged severe if it was considered to endanger the health or safety of the participant. Primary end points were first variceal bleeding and upper gastrointestinal bleeding. Secondary end points were adverse events, development of ascites or hepatic encephalopathy and death.

## 2.2 Statistical analysis and sample size calculation

The data were expressed as mean± standard deviation (S.D.) Statistical analysis was based on an intention-to-treat principle. Continuous variables were compared according to Student's t-test, and categorical variables were compared using the Chi-square test and Fisher's exact test when appropriate. The Kaplan-Meier estimation was applied to examine the time to a first occurrence of bleeding and the time to death. The Log rank test was used to examine the variation of bleeding episodes and survival. The Cox's regression analysis

was used to detect possible prognostic variables other than treatment modality on the rebleeding rate and survival. All P values were two-tailed. A P value < 0.05 was considered significant. Analyses were performed using SPSS statistical package, version 26.0 (IBM Corp, Armonk, NY, USA). Assuming that 20% of the patients in the Carvedilol group may encounter first variceal bleed and which may be reduced to 5% in the Combination group, approximately 77 subjects would be required for each group with a two-tailed test to achieve a beta value of 0.2 and an error of 5% [17,18]. Owing to slow recruitment, the enrollment was terminated after a period of 4 years. All the enrolled subjects were advised to receive medications continuously. A coordinator in each center kept in contact with all the participants closely. We expected that an extension of the study period could compensate for inadequate sample size.

### 3. Results

The study began on June 28, 2011. The first patient was enrolled on July 8, 2011. Between July 2011 and June 2015, a total of 238 cirrhotic patients were screened for possible inclusion. Ninety-one patients had no esophageal varices and 45 patients had mild varices. Of the 102 eligible patients, 37 patients were excluded for the following reasons: age> 75 years old (5 patients), association with hepatocellular carcinoma (15 patients), other malignancy (2 patients) or uremia (3 patients), ever received EVL (1 patient), deep jaundice (2 patients), refractory ascites (2 patients), bradycardia (1 patient), hypotension (1 patient), refused to participate (5 patients). Allocation of patients is shown in figure 1. Finally 65 consecutive patients were enrolled in the trial, 34 patients in the Carvedilol group and 31 patients in the Combination group. The characteristics of both groups are shown in table 1. Both groups were comparable regarding age,

sex, severity of variceal size and liver disease. The majority of patients in both groups were Child-Pugh class A. The median follow-up period was 56 months in Combination group and 55 months in Carvedilol group. Two patients in the Combination group did not receive the third scheduled endoscopy at interval of 12 months. Five patients in the Carvedilol group and 2 patients in the Combination group were not compliant to take carvedilol regularly. Owing to side effects, 2 individuals in Carvedilol group and 1 individual in Combination group were switched to take propranolol since 3 to 6 months after enrollment. Two participants in each group lost follow up at between 13 months and 56 months after enrollment. They were included in the final analysis. The trial was ended till June 2020. In the carvedilol group, the mean dose of carvedilol was 11.3  $\pm$  3.9 mg (range 6.25 - 25 mg) per day. Among the Combination group, the mean dose of carvedilol was  $11.6 \pm 5.2$  mg (range 6.25 - 25 mg) per day. The mean sessions of banding ligation for primary prophylaxis was  $2.7\pm~0.6$  (range 2-3). The mean rubber bands applied were  $9.5 \pm 3.5$  (range 7-15). Variceal obliteration was achieved in only 12 patients (39%).

### 3.1 Hemorrhage from upper gastrointestinal tract

21 patients of the Carvedilol group and 6 patients in the Combination group experienced upper gastrointestinal bleeding (p<0.001, hazard ratio 4.50; 95% confidence interval 2.06-9.82). The origins of upper gastrointestinal bleeding are shown in table 2. Esophageal variceal bleeding was encountered in 16 patients in the Carvedilol group and 3 patients in the Combination group (p<0.001, hazard ratio 5.52; 95% confidence interval 2.19-13.9). The time to first variceal bleeding was  $38.2 \pm 29.4$  months in the Carvedilol group, significantly shorter than the figure of  $56.9 \pm 33.9$  months in the Combination group (p<0.02). All the 5 incompliant individuals in the

Carvedilol group encountered bleeding from esophageal varices. Figure 2 shows cumulative upper gastrointestinal bleeding rate. 1-year and 5-year bleeding rates of Carvedilol group vs. Combination group were 14.7% vs. 3.3% and 59.1% vs. 11.5%, respectively. Figure 3 shows cumulative esophageal variceal bleeding rate. 1-year and 5-year bleeding rates

of Carvedilol group vs. Combination group were 14.7% vs. 0% and 52.6% vs.4.8%, respectively. Cox regression analysis showed that therapy was the only factor predictive of esophageal variceal bleeding (hazard ratio, 7.79, 95% CI: 1.80-33.6, p=0.006). The development of ascites and hepatic encephalopathy were similar between both groups (Table 2).

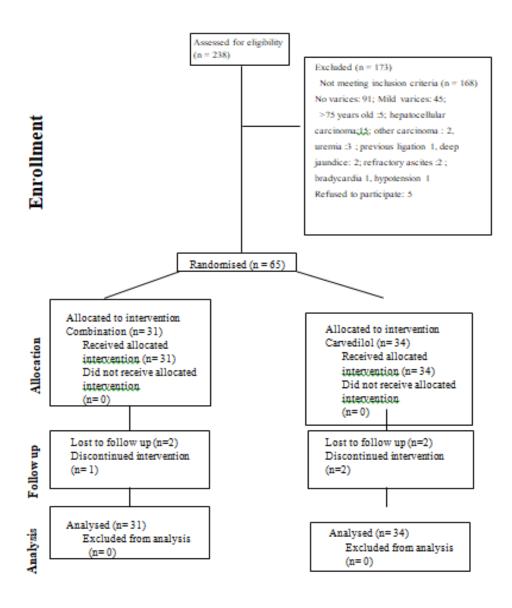
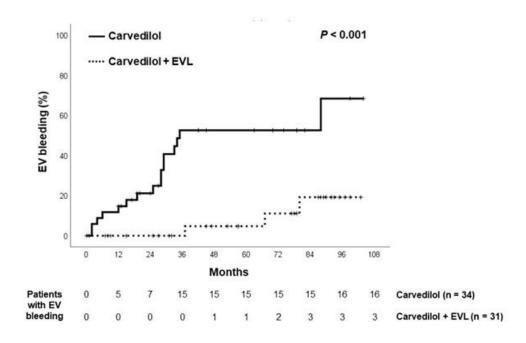


Figure 1: Allocation of eligible patients

	Carvedilol alone (N = 34)	<b>Combination</b> (N = 31)	P value
Age	59.7±6.7	56.6±10.6	0.16
Male/female	23/11	21/10	0.99
Etiologies of cirrhosis			
Alcohol	8(23%)	8(25%)	0.83
HBV	16(47%)	11(36%)	0.4
HCV	17(50%)	14(45%)	0.69
HBV + HCV	3(8.5%)	1(3.2%)	0.34
Others	1(2.9%)	3(9.7%)	0.25
AST (IU/L)	$64.8 \pm 33.1$	$69.0 \pm 39.2$	0.64
ALT (IU/L)	$49.4 \pm 24.4$	$56.0 \pm 42.6$	0.43
Albumin (g/dL)	$3.5 \pm 0.5$	$3.6 \pm 0.5$	0.64
Bilirubin (mg/dL)	$1.8 \pm 1.3$	$1.7 \pm 0.8$	0.44
Prothrombin time prolongation (sec)	$2.2 \pm 1.8$	$1.9 \pm 1.1$	0.45
MELD score	$11.7 \pm 3.2$	$12.7 \pm 5.4$	0.36
Ascites	7	8	0.87
Encephalopathy	0	1	0.29
Child-Pugh score	$6.2 \pm 1.6$	$6.2 \pm 1.6$	0.99
Child-Pugh (A/B/C)	22-09-2003	22-07-2002	0.85
Size of esophageal varices			
F1/ F2/ F3*	0 / 24 / 10	0 / 19 / 12	0.62
Gastric varices	7	9	0.42
Hemoglobin (gm/dl)	12.0± 2.1	11.90± 1.7	0.9

F1/F2/F3: mild, moderate, large varices; MELD: Model For End-Stage Liver Disease

Table 1: Baseline data of both groups



**Figure 2:** Kaplan-Meier estimates of probability of being free from first bleeding from esophageal varices in both groups.

	Carvedilol (N = 34)	Combination $(N = 31)$	P value
Carvedilol (mg/day)	$11.6 \pm 5.2$	$11.3 \pm 3.9$	0.797
Ligation sessions*		$2.7 \pm 0.6$	
Rubber bands		$9.5 \pm 3.5$	
Time to first bleeding (day)	$38.2 \pm 29.4$	56.9 ± 33.9	0.02*
UGI bleeding	21 (61%)	6 (19%)	0.001*
Sources of hemorrhage			
EV bleeding	16 (47%)	3 (9%)	$0.001^{*}$
Gastric varices	2	1	
Peptic ulcers	2	2	
Gastropathy	1	0	
Presence of ascites	18(53%)	11(35%)	0.15
Presence of encephalopathy	8(24%)	6(19%)	0.28
Death	23 (67%)	11 (35%)	0.01*

Ligation session\*: required to achieve variceal obliteration;

UGI: upper gastrointestinal; EV: esophageal varices

PHG: portal hypertensive gastropathy

**Table 2:** Treatment results

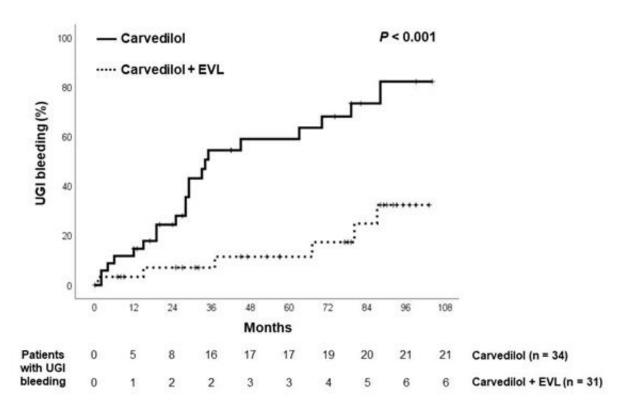


Figure 3: Kaplan-Meier estimates of probability of being free from upper gastrointestinal bleeding in both groups.

Adverse events	Carvedilol (N = 34)	Combination $(N = 31)$
Ligation-induced ulcer bleeding	0	1
Retrosternal pain	0	2
Transient dysphagia	0	2
Nausea	1	0
Shortness of breath	3	3
Dizziness	2	1
Bradycardia	1	1
General weakness	1	0
Mortality		
Hepatic failure	4	3
Sepsis	5	2
SBP	4	2
HCC	2	2
Hepatorenal syndrome	1	0
EV bleeding	2	0
CVA	1	1
Other malignancy	4	1

SBP: spontaneous bacterial peritonitis; HCC: hepatocellular carcinoma

EV: esophageal varices; CVA: cerebral vascular accident

Table 3: Adverse events and mortalities

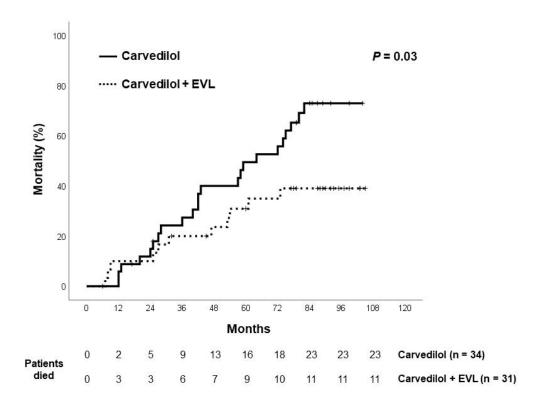


Figure 4: Actuarial of mortality in the 2 groups.

#### 3.2 Adverse events

One episode of ulcer bleeding was encountered in the Combination group at 5 days after first session of EVL and rescued by use of vasoconstrictor and proton pump inhibitor. No serious adverse effects were encountered in Carvedilol group. The adverse events are shown in table 3. No significant differences existed between both groups.

### 3.3 Mortality rates

There were 23 deaths in the Carvedilol group and 11 deaths in the Combination group (hazard ratio 2.08, 95% CI= 1.06-4.92, p=0.03). Kaplan-Meier survival curve is shown in figure 4. The mortality rates 1-year and 5-year of Carvedilol group vs. Combination group were 5.9% vs. 10.0% and 49.5% vs. 30.9%, respectively. Cox regression analysis revealed that variceal bleeding ((hazard ratio, 2.53, 95% CI: 1.22-5.25, p=0.012) and therapy were the factors predictive of mortality (hazard ratio, 2.54, 95% CI: 1.03-6.30, p=0.043). The causes of mortality are shown in table 3. The majority of patients died of hepatic failure, infections and malignancy. One patient in the Carvedilol group received liver transplantation at 55 months after enrollment. Two patients in the Carvedilol group versus no patients in Combination group died of variceal hemorrhage.

### 4. Discussion

It is well recognized that individuals with high risk varices should receive prophylactic measures to prevent first bleeding [2,7,8]. Beta blockers having the advantages of systemic effects and non- invasiveness, are generally recommended as the first choice [7,8,19]. Moreover, carvedilol, a  $\beta$  blocker with anti- $\alpha$ 1 activity, at daily dose of 6.25-12.5 mg was shown to elicit a greater decrease in hepatic venous pressure

gradient (HVPG) than propranolol and combination with isosorbide mononitrate [20-23]. Some metaanalyses showed that EVL is superior to ß blockers in reducing variceal bleeding [24-26], while recent studies disclosed that carvedilol is superior to EVL in the prevention of first variceal bleed [26-28]. On the other hand, carvedilol could achieve significant HVPG reduction in only 54% of subjects [20-23]. Despite the use of B blockers, at least 15% of individuals still bled from esophageal varices [24,25]. Combination therapy of B blockers with EVL is hopefully via different mechanisms to achieve an enhanced effect in preventing variceal bleeding. Up to now, 8 trials, including 6 full articles [11-12,29-32] and 2 in abstract form [33,34], have assessed the efficacy of combination therapy. Interestingly, most of the published prospective studies showed negative results while the latest 2 large trials presented in abstract form and a network meta-analysis demonstrated enhanced effect by the combination therapy as compared with B blockers or EVL alone [26,33,34]. Our trial firstly adopted carvedilol association with limited sessions of ligation as the combination therapy. The esophageal variceal bleeding rates were 0%, and 4.8% in 1-year and 5-year in the Combination group, respectively. By contrast, the corresponding figures were 14.7% and 52.6% in the Carvedilol group. These data suggested the long-term beneficial effects by association with EVL. The frequency of minor complications was similar between both treatment groups. Prior studies in cirrhotic patients using beta blockers showed that the incidences of first variceal bleeding were in the range of 10% and 43% [5,6,24,25]. The slightly higher incidence of variceal bleeding in our Carvedilol group could be ascribed to long-term observation and partly to poor compliance in the long-term study. Due to significant reduction of first variceal bleeding, longterm combination therapy achieved an enhanced survival as compared with individuals treated with carvedilol alone. This significant end point has been rarely achieved in previous trials [5,6,11,12,17,18]. The combination of EVL and beta blockers is currently not recommended by most guidelines, simply owing to inadequate evidence [8,14,19]. Conversely, a study from Germany revealed that up to 64% of clinicians using combination therapy to prevent first bleeding [31]. In this trial, we adopted a unique policy to combine EVL. The interval of EVL was lengthened and sessions of EVL were limited, with a purpose to reduce variceal size instead of complete variceal obliteration. All the previous trials pursued high variceal obliteration rates in a short period [5,6,11,12]. This policy requires bothersome regular endoscopic examinations and therapies to prevent variceal recurrence, potentially resulting in increased incidences of severe adverse events. Our methods could effectively reduce variceal size without hampering the effect. Small residual varices were left to be controlled by combination with carvedilol and treatment of underlying etiologies. Our variceal obliteration was only 39%, lower than previous figures up to 98% [25]. However, the variceal bleeding rate remained only 4.8% at 5-year by combination therapy. The appropriate interval of EVL has been an issue of controversy [34,35]. A trial from Japan with interval up to 2 months showed satisfactory results in primary prophylaxis of variceal bleeding [36]. There were no serious complications in either group in our trial except one episode of ulcer bleeding. The development of ascites and hepatic encephalopathy were similar between both groups. Our trial clearly showed that limited EVL sessions could be a good partner to carvedilol in the decrease of first esophageal variceal bleeding. The weakness of this trial was small sample size. The strength of our trial was multi-center, long

term study using carvedilol together with a novelty design in the institution of EVL. This approach could obviate HVPG measurement and regular endoscopic surveillance. Most studies for primary prophylaxis of variceal bleeding usually observed for only 1 to 2 years [24-26]. Short study period and overtreatment with EVL were presumed to be responsible for the negative results of combination studies [30]. This trial with study period extending to 9 years, and a median of 55 months, demonstrated that variceal bleeding rate as well as mortality in cirrhotic patients with high risk varices could be effectively reduced by the combination of EVL and ß blockers. Choices between B blockers and EVL for primary prophylaxis of variceal bleeding have been an issue of long-term controversy [26,38,39]. While experts prefer ß blockers, 64% of surveyed patients conversely prefer EVL over ß blockers [40]. Our trial suggested that combination therapy is a viable, practical approach. In conclusion, our data revealed that the addition of EVL to carvedilol could significantly enhance the effect in reducing the incidence of first variceal bleeding as well as mortality.

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